Family Office Winter Forum

March 6, 2019
New York Marriott Marquis
New York, NY

Opal Group

Wednesday, March 6, 2019

7:00AM-8:30AM	**Exhibit Set Up**	
7:00 AM-7:30 PM	**Exhibit Hall / Session Rooms Open**	
7:00AM-7:30PM	**Registration/Information Desk Opens** All confirmed pre-registered delegates must approach the Registration Desk to check in and pick up conference badges. Business Cards are required to retrieve badges.	
7:00AM-7:30PM	**Hospitality Lounge Opens** **Sponsored by:** **Camelback Odyssey Travel**	
7:00AM-8:00AM	**Networking Breakfast** **Sponsored By:**	
8:00AM	**Co-Chair Opening Remarks**	
Concurrent Sessions	**TRACK A**	**TRACK B**
8:15AM	**The Modern Day Family Office: How to Properly Structure & Select Resources That Fit Your Family's Needs** Moderator: **Kirby Rosplock, PhD, Founder & CEO, Tamarind Partners** Panelists: **Thomas Handler, J.D., P.C., Partner & Chairman of Advanced Planning and Family Office Practice Group, Handler Thayer, LLP** **Andrew Busser, Managing Director of Strategy, Pitcairn (MFO)** **Carol Pepper, CEO & Founder, Pepper International LLC (MFO)**	**Non-Correlated Alternative Fund Strategies** Moderator: **Howard Cooper, Chief Executive Officer, Cooper Family Office (SFO)** Panelists: **Edward Coyne, Executive Vice President, Sprott Asset Management** **Todd D. Silverman, Managing Director & Founder, TriPost Capital Partners, LLC** **TBA, Calumet Capital LLC** **TBA, Oasis**

9:15AM	**Investing in Private Equity and Venture Capital Opportunities** Moderator: **Jonathan Bergman, Managing Director, TAG Associates (MFO)** Panelists: **Jim Snyder, Partner, Hammond, Kennedy, Whitney & Co.** **David Schwab, Founder and Managing Director, Vertical Venture Partners** **Marie DeFalco, Partner, Vice Chair, Investment Management Group, Lowenstein Sandler LLP** **TBA, Performance Equity Management, LLC**	**Opportunistic Alternative and Niche Strategies - Diversification in a late market cycle environment** • Defining today's niche strategies and how niche can become mainstream • Investing early to build relationships for successor funds • Finding scalable niche and emerging opportunities • Seed and acceleration economics • Underwriting newer firms, additional diligence steps: terms, team, track record, infrastructure Moderator: **Thomas Haug, CEO, Managing Member, Aspen Tree Advisory** Panelists: **Tony Benson, Portfolio Manager, Keel Point (MFO)**
10:00AM	**Networking Refreshment Break** **Sponsored by:**	
Concurrent Sessions	**TRACK A**	**TRACK B**
10:30AM	**Real Estate Investing: Building, Balancing and Tweaking Your Portfolio** Moderator: **Brad Gates, President/COO, Veritas Family Partners (SFO)** Panelists: **Pamela Michaels, Senior VP, Asset Preservation Inc** **Nick Grego, Director, Amherst Pierpont Securities LLC** **Ian Selig, Manager, Investor Relations, Safety, Income & Growth Inc.** **TBA, Prodigy Network**	**Investing in Blockchain - Distributed Ledger Technology (DLT) Markets & Crypto Currency** Moderator: **Brian Sewell, Founder, Rockwell Capital, a family office (SFO)** Panelists: **Cliff Friedman, Managing Director, Raptor Group Holdings (SFO)** **Julapa Jagtiani, Special Advisor, Supervision Regulation and Credit, Federal Reserve Bank of Philadelphia** **Greg Heuss, Managing Partner, Reflective Venture Partners** **Terry Culver, CEO, Digital Finance Group**
11:30AM	**Investing in Private Debt and Direct Lending (or Opportunities in Credit Investing)** Moderator: **Ronen Schwartzman, Chief Investment Officer, Cooper Family Office (SFO)** Panelists:	**Investing through Qualified Opportunity Zones** Moderator: **DJ Van Keuren, VP of Family Office Capital, Hayman Family Office (SFO)** Panelists: **Mike Green, Principal, Virtú Investments**

	Robert Jafek, Principal, Boomerang Capital Partners **Anthony O'Toole, Chief Financial & Investment Officer,** Truth Initiative	**Tony Barkan, Co-Founder & Partner,** Allagash Opportunity Fund **Garett Bjorkman, VP of Portfolio Oversight,** CIM Group
12:30PM	**Keynote Discussion** **Why the FBI Can't Stop Cybercrime and How You Can** A retired FBI agent and security expert uses real life examples of the latest hacks, security breaches and computer scams to demonstrate how cybercrime occurs and what countermeasures we can take to combat the innovations of criminals. This non-technical presentation will provide proven approaches to recognize and prevent the latest cybercrimes. **Jeff Lanza, Retired FBI Agent,** The Lanza Group	
1:00PM	**Networking Luncheon**	
2:15PM	**Family Office CIO Roundtable** This panel will be a discussion of USA/Domestic family office CIOs looking at where they think the smart money is being invested and the strategies they see being the best fits for investors in not only today's markets but also moving forward. We will go over the areas of how to access deal flow in the USA and what the perils, pitfalls and opportunities are for family offices. Moderator: **Brian Smiga, Partner,** Alpha Venture Partners/Pritzker Group Venture Capital Panelists: **Holly MacDonald, Managing Director, Chief Investment Strategist,** Bessemer Trust **Theodore Kokas, Chief Investment Officer,** EverWatch Financial Inc (SFO) **Michael Pompian, Founder and CIO,** Sunpointe Investments (MFO) **Jack Bojman, Chief Investment Officer,** Cammebys International (SFO)	
Concurrent Sessions	**TRACK A**	**TRACK B**
3:15PM	**Investing in The Energy Markets** Moderator: **Abigail Laufer, CEO,** Ferguson Family Office (SFO) Panelists: **Eric Kaufman, Managing Partner & Portfolio Manager,** VE Capital Management, LLC	**Investing in Fixed Income: Investing in a Rising Rate Environment** Moderator: **Phillip Vitale, Chief Executive Officer,** Evolytical LLC Panelists: **Erez Biala, Head of Structured Credit Trading & Origination,** Amherst Pierpont Securities **Neil Nisker, Co- Founder and Executive Chairman,** Our Family Office Inc. (MFO)
4:00PM	**Investing in the Cannabis Industry** Moderator: **Michael Schwamm, Partner,** Duane Morris LLP Panelists: **Robert Fireman, CEO,** MariMed Advisors **Tegan Adams, CEO,** New Maple Holdings	**Breaking Down ESG Strategies** The term "ESG investing" is tossed around in the media and in boardrooms, but what exactly is it? This panel will discuss ESG strategies from several perspectives. • Is there real money being put to work? • What types of investors are investing with an ESG lens? • How does an investor implement an ESG strategy? Does one give up returns?

	Benton B. Bodamer, Member, Dickinson Wright PLLC	• Are investment tradeoffs to be considered? • The practical considerations when implementing such a strategy across asset classes. • How Trump Presidency Is Boosting Demand for Impact Investing, ESG Funds • What sort of practical challenges have experienced funds faced when implementing ESG into their business strategy, and is there anything they would have done differently? Moderator: **Don Shannon, Director, StepStone Group** Panelists: **John Schaetzl, Supervisory Board Chair, Access to Medicine Foundation** **Lina Constantinovici, Professor, MBA Entrepreneurship and Innovation, Presidio Graduate School** **TBA, Novus**
4:45PM	**Investing in Innovative Technology: Investments that Change the Future** Moderator: **Chuck Stetson, Chief Executive Officer, Stetson Family Office** Panelists: **Ron Diamond, CEO, Diamond Wealth Strategies** **Peter Blaney, President, Induran Ventures Inc.**	**Impact Investing: Driving Social Purpose Through Measurable Investment Returns** • Exploring the Role of Alternatives in Impact Investing • Measuring Social Impact with Big Data Analytics • How can Big Data innovate Impact Investing? • Top 5 Challenges in Impact Investing Projects • ROI strategies for impact investments • Breaking Down ESG/SRI Strategies Moderator: **Richard Zimmerman, Advisor, WE Family Offices (MFO)** Panelists: **Bernardo Guillamon, Manager, Office of Outreach and Partnerships, Inter-American Development Bank** **Jennifer Kenning, CEO & Co-Founder, Align Impact** **Raymond Guthrie, Managing Director, The American Heart Association (AHA)**

5:30PM	**How to Access the Best Managers via GP-Co Investments or Direct Investment Structures** • What is your strategy and what makes it unique opposed to other investments i.e stocks, bonds. • What are your investment criteria when looking at an investment? • How do you find deals? • What is your DD process like? • Are you passive or controlling investors? • Are there any key trends that investors should be wary off? • Families are doing more direct investments. Do you guys allow direct investments? • What is the process? Are there advantages vs. disadvantages compared investing in funds? • What are some of the deals that went wrong and what did you learn? Moderator: **Aviva Pinto, Director, Bronfman E.L. Rothschild (MFO)** Panelists: **Jolyne Caruso, Founder & CEO, The Alberleen Group and Alberleen Family Office Solutions (SFO)** **Michael Schwamm, Partner, Duane Morris LLP** **Dan Farrell, Chairman & CEO, Privos Capital (MFO)**	**Governance and Trusts: Planning for the Next Generation** • Why governance is important • Structures that are utilized to improve governance • Best Practices & Recommendations • Importance of collaboration by the family's multi-disciplinary advisory team • Common pitfalls • Are Family Constitutions Needed & Upholdable? • War stories and success stories (especially anecdotes focused on helping a dysfunctional family move forward) • Key takeaway - Soft issues will determine success or failure! Moderator: **Richard Marker, Co-Principal, Wise Philanthropy; Faculty Co-Director, University of Pennsylvania Center for High Impact Philanthropy** Panelists: **Susan R. Schoenfeld, CEO and Founder, Wealth Legacy Advisors LLC** **Carolann Grieve, Managing Director, GenSpring SunTrust Private Wealth (MFO)** **Rhona Vogel, CEO & Founder, Vogel Consulting (MFO)** **Thomas Handler, J.D., P.C., Partner & Chairman of Advanced Planning and Family Office Practice Group, Handler Thayer, LLP** **Sara Rabi, Partner, Marks Paneth LLP**
6:30PM-7:30PM	**Networking Cocktail Reception** **Sponsored By:**	